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JUNE 20, 2002

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EXCHANGE PLACE
53 STATE ST.
BOSTON, MA 02109



102066807A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/12/2002

REEL/FRAME: 012814/0281
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SAMPSON, HUGH A.

DOC DATE: 03/26/2002

ASSIGNEE:
MOUNT SINAI SCHOOL OF MEDICINE
ONE GUSTAVE L. LEVY PLACE
BOX 1675
NEW YORK, NEW YORK 10029

SERIAL NUMBER: 09989658
PATENT NUMBER:

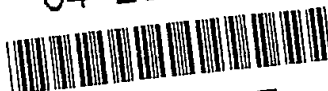
FILING DATE: 11/20/2001
ISSUE DATE:

TONYA LEE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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OCT 15 2002

TECH CENTER 1600/2900



102066807

To the Honorable Commissioner of Patents and Trademarks

Please attach original documents or copy thereof.

1. Name of conveying party(ies)

Hugh A. Sampson

2002 APR 12 PM 2:38

FINANCE SECTION

OCT 09 2002

PATENT & TRADEMARK OFFICE

4-12-02

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Dates: March 26, 2002

2. Name and address of receiving party(ies)

Name: Mount Sinai School of Medicine
Mailing Address: One Gustave L. Levy Place
Box 1675
Street Address:

City: New York State: NY Zip Code: 10029

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
09/989,658 filed November 20, 2001

B. Patent No.(s)

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Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: C. Hunter Baker

Internal Address: Choate, Hall & Stewart

Street Address: Exchange Place
53 State Street

City: Boston State: MA ZIP: 02109

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number: 03-1721

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

C. Hunter Baker

April 4, 2002

Date

Name of Person Signing

Reg. No. 46,533

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

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04/24/2002 6TON11 00000235 09989658

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40.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231
on 4/4/02

ASSIGNMENT

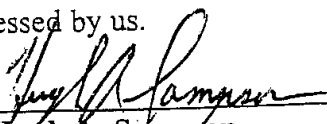
In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Hugh Sampson**, hereby

Sells, assigns and transfers to the **Mount Sinai School of Medicine of New York University**, having a place of business at **One Gustave L. Levy Place, New York, NY**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent filed November 20, 2001 Serial No. 09/989,658 entitled "**ANIMAL MODEL OF ALLERGIES**" and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said ASSIGNEE, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said ASSIGNEE all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE.

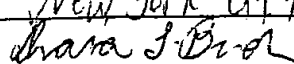
Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenant with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same as herein expressed is possessed by us.


Hugh A. Sampson

STATE OF New York SS.
COUNTY OF New York

Before me this 26 day of March, 2002, personally appeared **Hugh A. Sampson** known to me to be the person whose name of subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

IN WITNESS WHEREOF, I hereto set my hand and seal at Mount Sinai Hospital
New York City, NY this 26 day of March, 2002.

NOTARY PUBLIC

DIANA L. BINDER
Notary Public, State of New York
No. 01816067311
Qualified in New York County
Commission Expires Dec.

[Notary's Seal Here]

My Commission Expires:

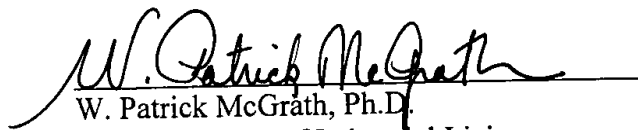
Dec. 15, 2005

Dec. 10, 2005

STATEMENT

I, a person authorized to sign on behalf of the assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as assignees who seek to take further action.

• ASSIGNEE

• 

W. Patrick McGrath, Ph.D.

Director, Office of Industrial Liaison

Mt. Sinai School of Medicine of New York University

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ATTORNEY'S DOCKET NO.: 2002834-0069

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sampson, *et al.*
Serial No.: 09/989,658
Filed: November 20, 2001
For: ANIMAL MODEL OF ALLERGIES

Examiner:
Art Unit: 1632

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ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TECH CENTER 1600/2900

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR 3.73(b))

The assignee of the entire right, title and interest, Mt. Sinai School of Medicine of the
City University of New York, hereby seeks to take action in the Patent and Trademark Office in
the above-referenced matter:

Ownership by the assignee is established as follows:

_____ documents already recorded in the PTO on _____

Reel _____ Frame _____; or

X documents separately submitted for recordal to the PTO (a copy of these
documents are attached).